

~~3621~~

TRANSMITTAL FORM <i>(to be used for all correspondence during pendency of filed application)</i>	U.S. Department of Commerce Patent and Trademark Office	Application Number	09/505,486
	Filing Date	February 16, 2000	
	First Named Inventor	Timothy R. Bratton	
	Group Art Unit Number	3621	
	Examiner Name	Firmin Backer	
Total Number of Pages in This Submission	8**	Attorney Docket Number	21685-06238

ENCLOSURES (*check all that apply*)

REMARKS: ** number of pages does not include cited references

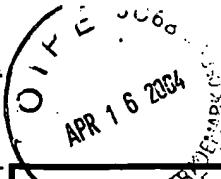
SIGNATURE OF ATTORNEY OR AGENT

Signature:			
Attorney/Reg. No.:	Robert A. Hulse, Reg. No. 48,473	Dated:	April 13, 2004

CERTIFICATE OF MAILING

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Typed or Printed Name:	Robert A. Hulse	Dated:	April 13, 2004
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~~PATENT FEE~~
FEE TRANSMITTAL
for FY 2004

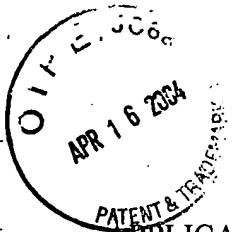
Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$ 180.00) Attorney Docket No. 21685-06238

METHOD OF PAYMENT (check all that apply)					FEE CALCULATION (continued)					
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account: Deposit Account Number 19-2555 Deposit Account Name Fenwick & West LLP					3. ADDITIONAL FEES					
					Large Entity		Small Entity		Fee Description	Fee Paid
					Fee	Fee	Fee	Fee		
					Code	(\\$)	Code	(\\$)		
					1051	130	2051	65	Surcharge - late filing fee or oath	
					1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
					1053	130	1053	130	Non-English specification	
					1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
					1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
					1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
					1251	110	2251	55	Extension for reply within first month	
					1252	420	2252	210	Extension for reply within second month	
					1253	950	2253	475	Extension for reply within third month	
					1254	1,480	2254	740	Extension for reply within fourth month	
					1255	2,010	2255	1,005	Extension for reply within fifth month	
					1401	330	2401	165	Notice of Appeal	
					1402	330	2402	165	Filing a brief in support of an appeal	
					1403	290	2403	145	Request for oral hearing	
					1451	1,510	1451	1,510	Petition to institute a public use proceeding	
					1452	110	2452	55	Petition to revive - unavoidable	
					1453	1,330	2453	665	Petition to revive - unintentional	
					1501	1,330	2501	665	Utility issue fee (or reissue)	
					1502	480	2502	240	Design issue fee	
					1503	640	2503	320	Plant issue fee	
					1460	130	1460	130	Petitions to the Commissioner	
					1807	50	1807	50	Processing fee under 37 CFR 1.17(g)	
					1806	180	1806	180	Submission of Information Disclosure Stmt	180
					8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
					1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
					1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
					1801	770	2801	385	Request for Continued Examination (RCE)	
					1802	900	1802	900	Request for expedited examination of a design application	
					Other fee (specify) _____					
					SUBTOTAL (2) (\$ 0.00)					SUBTOTAL (3) (\$ 180.00)
<small>*or number previously paid, if greater. For Reissues, see above</small>										
<small>*Reduced by Basic Filing Fee Paid</small>										

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Robert A. Hulse	Registration No. (Attorney/Agent)	48,473	Telephone (415) 875-2444
Signature		Date	April 13, 2004	



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Timothy R. Bratton

APPLICATION NO.: 09/505,486

FILING DATE: February 16, 2000

TITLE: Audio Synthesis Using Digital Sampling of Coded Waveforms

EXAMINER: Firmin Backer

GROUP ART UNIT: 3621

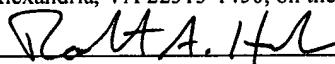
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Dated: April 13, 2004

By: 
Robert A. Hulse, Reg. No.: 48,473

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P.O. BOX 1450
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INFORMATION DISCLOSURE STATEMENT
Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner. Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;
- before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;

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- after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR §1.113; or (2) an action that otherwise closes prosecution in the application, and:
 - attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
 - Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
 - no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
 - on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of allowance under 37 CFR § 1.311; or (3) an action that otherwise closes prosecution in the application, and:
 - Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
 - each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
 - no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this

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certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

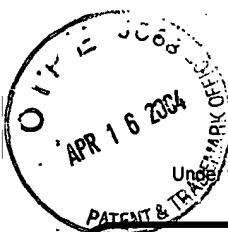
- attached hereto is the fee set forth under 37 CFR § 1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. APPLICATION NO., filed on DATE FILED, and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
TIMOTHY R. BRATTON

Dated: April 13, 2004

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

<i>Complete if Known</i>	
Application No.	09/505,486
Filing Date	February 16, 2000
First Named Inventor	Timothy R. Bratton
Art Unit	3621
Examiner Name	Firmin Backer

Sheet 1 of 1 Attorney Docket Number 21685-06238

U.S. PATENT DOCUMENTS

		Document No.		
Examiner Initials*	Cite No. ¹	Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	A16	US-5,901,143	05-04-1999	Rotter et al.

FOREIGN PATENT DOCUMENTS

		Foreign Patent Document			
Examiner Initials*	Cite No. ¹	Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.